UNIFORM STATUTORY DECLARATION FORM FOR THE REGISTRATION OF FITTING DESIGNS NEW BRUNSWICK NOVA SCOTIA PRINCE EDWARD ISLAND NEWFOUNDLAND AND LABRADOR NUNAVUT YUKON NORTHWEST TERRITORIES MANUFACTURERS NAME: Swagelok Company MANUFACTURERS ADDRESS: 29500 Solon Road, Solon, Ohio 44139 USA PLANT LOCATIONS: Headquarters: 29500 Solon Road, Solon, Ohio 44139 USA (See Attachment A) CATEGORY OF FITTINGS TO BE REGISTERED. CIRCLE ONE CATEGORY ONLY TITLE OF THE STANDARD OF CONSTRUCTION A Pipe fittings, including couplings, tees, elbows, Ys, plugs, unions, pipe caps, or reducers ASME B31.1 for B Flanges: all flanges © Valves: all line valves unlisted components. D Expansion joints; flexible connections, and hose assemblies: all types ASME B31.3 for E Strainers, filters, separators, and steam traps F Measuring devices, including pressure gauges, level gauges, sight glasses, levels, or unlisted components pressure transmitters G Certified capacity-rated pressure relief devices acceptable as primary over pressure protection on boilers, pressure vessels, piping and fusible plugs H Pressure retaining components that do not fall into one of the above categories N Nuclear components: Class 1 □ Class 2 □ Class 3 □, (Meeting AECB or ASME requirements) SHOW MANUFACTURERS NAME, TRADEMARK, OR LOGO AS IT WILL APPEAR ON THE PRODUCT TYPE OF CONSTRUCTION FORGED | WELDED | WROUGHT NO CAST | O OTHER | O DESCRIBE OTHER LIST OF SUPPORTING DOCUMENTATION AND IDENTIFICATION OF THE ACTUAL ITEMS TO BE REGISTERED: ISO 9001:2015 Certificate, Attachment A. Attachment B. Catalog Information and other Support Documents DECLARATION: | Joel Feldman, Vice President, Engineering (see note 3) employed by Swagelok Company and being the person having full authority and responsibility for the quality of the end product do solemnly declare that the information contained in this form is true and to the best of my knowledge represents the product for which registration is sought. The dimensions, materials of construction, pressure temperature ratings, and identification markings are in accordance with the herein named standards. I further declare that the manufacture of these fittings is regulated by a Quality Control Program which extends to each plant where fabrication occurs in whole or in part and has been verified by BSI as being suitable for that purpose and I make this solemn declaration conscientiously believing it to be true, and knowing that it is of the same force and effect as if made under oath. Signature of Declarer: Declared before me at USETHIS SPACE FOR THE Unable to getap notary signature or seal OFFICIAL SEAL due to Covid-19 situation - June 29, 2020 Commissioner of Oaths or Notary Public: (sign) (Affix Official seal to the right) This space for Regulatory Authority use This registration must be revalidated after ten (10) years from the date-of acceptance. CRN: 0C20808.2 1214 General service ball valves, 2- and 3-PC. designs (series 8GB & 16GB). 1. All fittings shall be registered in the name of the Manufacturer. 2. Each category shall be supported with two Statutory Declaration 0C20808.2Y forms and one copy of supporting documentation 3. The declaration shall be made by the person having full authority and

DATE 07/17/2020

responsibility for the quality of the end product.

at a maximum interval of five (5) years. CRN expires July 7, 2030 - DG

4. Quality control programs shall be resubmitted for validation



Canadian Registration Number Submittal Category C: Swagelok GB Series Ball Valve

Attachment B: Scope for Swagelok GB Series, 316 Stainless Steel and Alloy 2507, General Service Ball Valves (Category C)

This document represents the scope of the GB Series General Service Ball Valves, 2- and 3-piece designs, covered by this submission for CRN approval. These products were designed and evaluated in accordance with ASME B31.1-2018 for unlisted components and ASME B31.3-2018 for unlisted components.

Summary Table

| Product Series | Main Pressure Bearing Components | Main Pressure Bearing Material (Standard) | Port Connections and Sizes | Maximum Rated Pressure (psig) | | Design Code |
|-------------------|---|--|--|-------------------------------|------------------------------|---|
| | | | | At -40°F to 100°F | At maximum temperature 250°F | of Construction |
| 8GB | Body, End Screw(s) | 316 SS (ASTM A479) | Swagelok Tube Fitting 3/8", 1/2", 12mm | | | ASME B31.1 (Unlisted Components) and ASME B31.3 (Unlisted Components) |
| | | | Female NPT 3/8", 1/2", 3/4" | 6,000 | 000 4,935 | |
| | | | Female ISO/BSP Tapered (RT) 3/8", 1/2", 3/4" | | | |
| | | | Swagelok Tube Fitting 3/4" | 5,800 | 4,935 | |
| | | | Swagelok Tube Fitting 16mm | 5,810 | 4,935 | |
| | | | Swagelok Tube Fitting 18mm | 5,375 | 4,935 | |
| | | | Swagelok Tube Fitting 20mm | 5,520 | 4,935 | |
| | Body, End Screw(s) | Alloy 2507 (ASTM A479) | Swagelok Tube Fitting 3/8", 1/2", 3/4" | | 5,818 | |
| | | | Female NPT 3/8", 1/2", 3/4" | 6,000 | | |
| | | | Female ISO/BSP Tapered (RT) 3/8", 1/2", 3/4" | | | |
| 16GB | Body, End Screw(s) | 316 SS (ASTM A479) | Swagelok Tube Fitting 3/4" | 5,800 | 4,935 | |
| | | | Swagelok Tube Fitting 1" | 4,700 | 4,653 | |
| | | | Swagelok Tube Fitting 18mm | 5,375 | 4,935 | |
| | | | Swagelok Tube Fitting 20mm | 5,520 | 4,935 | |
| | | | Swagelok Tube Fitting 22mm | 4,935 | 4,885 | |
| | | | Swagelok Tube Fitting 25mm | 4,645 | 4,598 | |
| | | | Female NPT 3/4", 1" | 6,000 | 4,935 | |
| | | | Female ISO/ BSP Tapered (RT) 3/4", 1" | | | |
| | Body, End Screw(s) | Alloy 2507 (ASTM A479) | Swagelok Tube Fitting 3/4" | 6,000 | 5,818 | |
| | | | Female NPT 3/4", 1" | | | |
| | | | Female ISO/ BSP Tapered | 6,000 | 5,010 | |
| | | | (RT) 3/4", 1" | | 2020-03316 | BSA |

Date: June 23, 2020

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ZANA RADISAVLJEVIC, P. Tech. (Eng.)



Canadian Registration Number Submittal Category C: Swagelok GB Series Ball Valve

Product Illustration



Configurations

Bodies are only available in a straight pattern (2 ports), as shown in the above illustration.

Product Options:

The above summary table shows the options within scope of this registration. Additional options that do not affect pressure and/or temperature ratings are also available within the scope of this approval. Examples of these include:

Date: June 23, 2020

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- Handle options
- Pneumatic actuators

Quality System

The Swagelok Company quality system complies with the requirements of ISO 9001:2015. The Swagelok Company maintains BSI Certificate of Registration Number FM 01729, which applies to all locations listed on the Certificate.

References

The product catalog does not represent the full scope of registration, but rather details some of the most common options:

- GB Series General Service Ball Valves Catalog MS-02-484, Rev B
- Technical drawings availible at thomas.net
 https://cad.swagelok.com/? ga=2.159136250.1391233614.15905863421032800005.1561382416

Attachment A. Swagelok Manufacturing Locations

This document lists the Swagelok locations where end item or component level manufacturing activities take place.

| Swagelok Company | Swagelok Company (Falon 1) | | |
|---|--|--|--|
| 29500 Solon Road | 348 Bishop Road | | |
| Solon, Ohio 44139 | Highland Heights, Ohio 44143 | | |
| USA | USA | | |
| Swagelok Company (Highland) | Swagelok Company (Falon 2) | | |
| 318 Bishop Road | 358 Bishop Road | | |
| Highland Heights, Ohio 44143 | Highland Heights, Ohio 44143 | | |
| USA | USA | | |
| Swagelok Company (OFC) | Swagelok Company (HPF) | | |
| 29495 F.A. Lennon Drive | 6050 Cochran Road | | |
| Solon, Ohio 44139 | Solon, Ohio 44139 | | |
| USA | USA | | |
| Swagelok Company (Atlantic) | Swagelok Company (Snow Metal) | | |
| 26651 Curtiss Wright Parkway | 6060 Cochran Road | | |
| Willoughby Hills, Ohio 44092 | Solon, Ohio 44139 | | |
| USA | USA | | |
| Swagelok Company (Micro) 26653 Curtiss Wright Parkway Willoughby Hills, Ohio 44092 USA | Swagelok Company (Alfred) 29500 Ambina Drive Solon, Ohio 44139 | | |
| Swagelok Hose Services Company (SHSC) | Swagelok Company (Strongsville) | | |
| 29900 Solon Industrial Parkway | 15400 Foltz Road | | |
| Solon, Ohio 44139 | Strongsville, Ohio 44119 | | |
| Swagelok (China) Fluid System Technologies Ltd. Changshu Export Process Zone Changshu Economic Development Zone Changshu, Jiangshu 215513 China | Swagelok Limited Ballafletcher Road Tromode IM4 4RA Isle of Man | | |



9410 - 20 Ave N.W. Edmonton, Alberta, Canada T6N 0A4

Tel: (780) 437-9100 / Fax: (780) 437-7787

July 07, 2020

Attention: Jonathan Seewald

SWAGELOK COMPANY 29500 SOLON ROAD SOLON, OH 44139

The design submission, tracking number 2020-03316, originally received on June 30, 2020 was surveyed and accepted for registration as follows:

CRN: 0C20808.2 **Accepted on:** July 07, 2020

Reg Type: NEW DESIGN Expiry Date: July 07, 2030

Drawing No.: CATALOG MS-02-484 Rev B

Fitting type: GENERAL SERVICE BALL VALVES (SERIES 8GB & 16GB)

The registration is conditional on your compliance with the following notes:

As indicated on AB-41 Statutory Declaration form and submitted documentation, the code of construction are ASME B31.1 and ASME B31.3.

- It is our understanding that the fitting(s), included as the scope of this submission, that is(are) subject to the Safety Codes Act shall comply with the requirements of the indicated Standard or Code of Construction on the AB-41 Statutory Declaration as supported by the attached data which identifies the dimensions, materials of construction, press./temp. ratings and the basis for such ratings, and the identification marking of the fittings.
- This registration is valid only for fittings fabricated at the location(s) covered by the QC certificate attached to the accepted AB-41 Statutory Declaration form.
- This registration is valid only until the indicated expiry date and only if the Manufacturer maintains a valid quality management system approved by an acceptable third-party agency until that date.
- Should the approval of the quality management system lapse before the expiry date indicated above, this registration shall become void.

An invoice covering survey and registration fees will be forwarded from our Revenue Accounts.

If you have any question don't hesitate to contact me by phone at (780) 433-0281 ext 3369 or fax (780) 437-7787 or e-mail Radisavljevic@absa.ca.

Sincerely,

RADISAVLJEVIC, ZANA, P. Tech. (Eng.)

Zana Padisay/jevil

DOP Cert. No. D00003136

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